

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

9791 U.S. PTO
PATENT
APPLICATION
02/01/10

jc891 U. S. PTO

02/01/01

Hon. Commissioner of Patents
Washington, DC 20231

Atty. Dkt. PM 276778

M#

Client Ref

RULE 53(f) NO DECLARATION

Atty. Dkt.

PM 276778

M#

Client Ref

Date: February 1, 2001

Sir:

1. This is a Request for filing a new Patent Application (Design Utility) entitled:

2. (Complete) Title: PERSONALIZATION ENGINE FOR RULES AND KNOWLEDGE

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. Abstract 1 page(s).4. 19 Pages of Specification (only spec. and claims); 5. Specification in non-English language

6. 10 Numbered claim(s); and

7. Drawings: 3 sheet(s) 1 set informal; 8. formal of size: A4 11"9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/179,573	Feb. 1, 2000	(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)			<input type="checkbox"/> See 3 rd page for additional priorities

11. (No.) Certified copy (copies): attached; previously filed (date) _____
in U.S. Application No. / filed on _____12. This is a reissue of Patent No. _____13. See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)14. **Amend the specification** by inserting before the first line -- This is a Continuation-in-Part
 Divisional Continuation Substitute Application (MPEP 201.09) of:14(a) National Appln. No. / filed ..- (M#) which _____14(b) International Appln. No. PCT/ filed _____ which _____
designated the U.S., and that International Application was was not published
under PCT Article 21(2) in English--15. **Amend the specification** by inserting before the first line: --This application
claims the benefit of U.S. Provisional Application No. 60/ _____, filed _____ --16. Extension to date: concurrently filed not needed previously filed17. Small Entity Status is claimed (pre-filing confirmation **required**)17(a) Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not
essential to make claim)17(b) See NONPUBLICATION REQUEST under Rule 213(a) attached (PAT-258)

18. Prior application is assigned to

by Assignment recorded _____ Reel _____ Frame _____

19. Attached:20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	RUSSELL	A.	ROGERS
	First	Middle Initial	Family Name
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	City	State/Foreign Country	
Mailing Address			
(include Zip Code)			

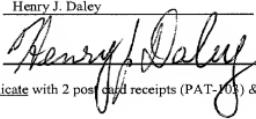
(4) Inventor			
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Residence			
	City	State/Foreign Country	
Mailing Address			
(include Zip Code)			

(5) Inventor			
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Residence			
	City	State/Foreign Country	
Mailing Address			
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21. NOTE: FOR ADDITIONAL INVENTORS, check box and attach sheet with same information regarding additional inventors.

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APPLICATION UNDER UNITED STATES PATENT LAWS

Atty. Dkt. No. PM 276778
(M#)

Invention: **PERSONALIZATION ENGINE FOR RULES AND KNOWLEDGE**

Inventor (s): **RUSSEL A. ROGERS**

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Filing under 35 U.S.C. 111(a) or 111(b)

This is a:

- Provisional Application
- Regular Utility Application
- Continuing Application
 - The contents of the parent are incorporated by reference
- PCT National Phase Application
- Design Application
- Reissue Application
- Plant Application
- Substitute Specification
Sub. Spec Filed _____
in App. No. _____ / _____
- Marked up Specification re
Sub. Spec. filed _____
in App. No. _____ / _____

SPECIFICATION